

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
25 September 2003 (25.09.2003)

PCT

(10) International Publication Number  
**WO 03/077654 A1**

(51) International Patent Classification<sup>7</sup>: A01N 43/16  
// 43/16, 43:16

(21) International Application Number: PCT/JP03/03472

(22) International Filing Date: 20 March 2003 (20.03.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
2002-077965 20 March 2002 (20.03.2002) JP  
60/367,214 26 March 2002 (26.03.2002) US

(71) Applicant (*for all designated States except US*): SHOWA DENKO K. K. [JP/JP]; 13-9, Shiba Daimon 1-chome, Minato-ku, Tokyo 105-8518 (JP).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): SAKURAI, Haseo [JP/JP]; C/O SHOWA DENKO K. K., 5-1, Oogimachi, Kawasaki-ku, Kawasaki-shi, Kanagawa 210-0867 (JP). FUKUYA, Hiroki [JP/JP]; C/O SHOWA DENKO K. K., 111, Nagayachi, Higashinagahara, Kawahigashi-machi, Kawanuma-gun, Fukushima 969-3431 (JP). ANZAI, Fukumi [JP/JP]; C/O SHOWA DENKO K. K., 111, Nagayachi, Higashinagahara, Kawahigashi-machi, Kawanuma-gun, Fukushima 969-3431 (JP).

(74) Agents: ISHIDA, Takashi et al.; A. Aoki, Ishida & Associates, Toranomom 37 Mori Bldg., 5-1, Toranomom 3-chome, Minato-ku, Tokyo 105-8423 (JP).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, KE, KG, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: CHITOSAN-CONTAINING COMPOSITION FOR IMPROVING DISEASE RESISTANCE AND GROWTH OF PLANTS

(57) Abstract: There is provided a composition for improving the disease resistance and growth of plants, comprising (A) a chitosan having a molecular weight of 3,000 to 60,000, (B) a chitosan having a molecular weight of 35,000 to 90,000 (provided that the molecular weight of chitosan (A) and the molecular weight of chitosan (B) are different) and (C) a lactic acid and/or a succinic acid. By using the composition of the present invention wherein two kinds of chitosans having different molecular weights, an effect of enhancing stable and high disease resistance and improving growth can be exerted on plants.



WO 03/077654 A1

DESCRIPTION

CHITOSAN-CONTAINING COMPOSITION FOR IMPROVING DISEASE  
RESISTANCE AND GROWTH OF PLANTS

5

Cross-References to Related Applications

The application is an application filed under  
35 V.S.C. § 111 (a) claiming pursuant to 35 V.S.C.  
§ 119 (e) of the filing date of Provisional  
10 Application 60/367,214 on March 26, 2002, pursuant to  
35 V.S.C. § 111 (b).

Field of the Invention

The present invention relates to the field of  
protecting plants from diseases and growing plants by  
15 using a composition comprising chitosan as the main  
ingredient and containing an organic acid.

The composition of the present invention is very  
excellent in view of environmental safety as compared  
with agrochemicals (bactericides) used at present and its  
20 effect against pathogens is comparable to the  
agrochemicals (bactericides).

Back ground of the Invention

Techniques of utilizing a composition comprising  
chitosan and an organic acid in the filed of agriculture  
25 as an agent for regulating the plant growth or preventing  
diseases are already known (see, U.S. Patent 4,812,159,  
JP-A-10-309129 (the term "JP-A" as used herein means an  
"unexamined published Japanese patent application") and  
Japanese Unexamined Published International Application  
30 No. 2001-507361). The composition disclosed in these  
publications uses chitosan and one or more organic acid  
such as glutamic acid, lactic acid and succinic acid.  
The composition is produced by using an organic acid in  
the range from an amount of giving a carboxyl group  
35 content 1.02 times or more the amino group of chitosan to  
an amount (by mass) equal to chitosan and used as an  
agent for regulating the plant growth. However, there is

not reported a case where two or more chitosans different in the molecular weight are used.

5 In the above-described publications, a composition comprising chitosan and an organic acid is used as a plant growth regulator, a disease preventing agent or the like, however, these compositions have a problem, for example, the application range is narrow or the effect is unstable. Under these circumstances, the object of the present invention is to provide a composition for  
10 improving the disease resistance and growth of plants, which comprises chitosan as an ingredient and is enhanced in the effect.

As a result of investigations to broaden the application range of a composition for improving the disease resistance and growth of plants, the present  
15 inventors have found that when at least two chitosans different in the molecular weight are used, the difference of effect according to the plant species or treating method can be reduced and the effect of improving the disease resistance and growth of plants can  
20 be enhanced. The present invention has been accomplished based on this finding.

#### Summary of the Invention

More specifically, the present invention is composed  
25 of, for example, the following matters.

[1] A composition for improving the disease resistance and growth of plants, comprising (A) a chitosan having a molecular weight of 3,000 to 60,000, (B) a chitosan having a molecular weight of 35,000 to  
30 90,000 (provided that the molecular weight of chitosan (A) and the molecular weight of chitosan (B) are different) and (C) a lactic acid and/or a succinic acid.

[2] The composition as described in [1], wherein the deacetylation degree of chitosans (A) and (B) is from  
35 60 to 90% (provided that the deacetylation degree may be the same or different between (A) and (B)).

[3] The composition as described in [1] or [2],

wherein the ratio of the chitosan (A) content to the chitosan (B) content is 1:0.9 to 1.1.

5 [4] The composition as described in any one of [1] to [3], wherein the total content of chitosans (A) and (B) is from 5 to 15% by mass of the composition.

[5] The composition as described in any one of [1] to [4], wherein (C) a lactic acid and/or a succinic acid is contained in an amount of 2% by mass to less than 15% by mass.

10 [6] The composition as described in any one of [1] to [5], wherein a succinic acid is contained in an amount of 0.5 to 5% by mass of the composition, a lactic acid is contained in an amount of 1 to 10% by mass of the composition and the total amount thereof is from  
15 0.4 times by mass to less than 1.0 times by mass of chitosan.

[7] The composition as described in any one of [1] to [6], which comprises (D) an organic carboxylic acid other than a lactic acid and a succinic acid.

20 [8] The composition as described in [7], wherein the organic carboxylic acid (D) is at least one acid selected from the group consisting of a glutamic acid, a salicylic acid, an arachidonic acid and an indoleacetic acid.

25 [9] The composition as described in [7] or [8], wherein the organic carboxylic acid (D) content is from 0.0001 to 5% by mass of the composition.

[10] The composition as described in any one of [1] to [9], which comprises (E) an inorganic salt.

30 [11] The composition as described in [10], wherein the inorganic salt (E) is at least one salt selected from the group consisting of a silicate, a phosphite and a phosphate.

35 [12] The composition as described in [10] or [11], wherein the inorganic salt (E) content is from 1 to 5% by mass of the composition.

[13] The composition as described in any one of [1]

to [12], which comprises (F) a dimethyl sulfoxide in an amount of 3 to 15% by mass of the composition.

5 [14] The composition as described in any one of [1] to [13], which comprises (G) an alcohol containing an alkyl group having from 1 to 8 carbon atoms, which may be branched.

[15] The composition as described in [14], wherein the alcohol (G) is an isoamyl alcohol.

10 [16] The composition as described in [14] or [15], wherein the alcohol (G) content is from 0.5 to 5% by mass of the composition.

[17] The composition as described in any one of [1] to [16], which comprises (H) a surface active agent.

15 [18] The composition as described in [17], wherein the surface active agent (H) is at least one member selected from the group consisting of a polyoxyethylene alkylphenyl ether, a polyoxyethylene alkyl ether, a polyalkylene glycol alkyl ether, a polyoxyethylene fatty acid ester, a polyoxyethylene resin acid ester, a  
20 polyoxyethylene hexitan fatty acid ester, a polyoxyethylene sorbitan fatty acid ester and a sorbitan fatty acid ester.

[19] The composition as described in [17] or [18], wherein the surface active agent (H) content is from 0.5  
25 to 3% by mass of the composition.

[20] The composition as described in any one of [1] to [19], which comprises (I) water.

[21] The composition as described in [20], wherein the water (I) content is from 40 to 93% by mass.

30 [22] A method for using the composition described in any one of [1] to [21], comprising diluting the composition with water before use.

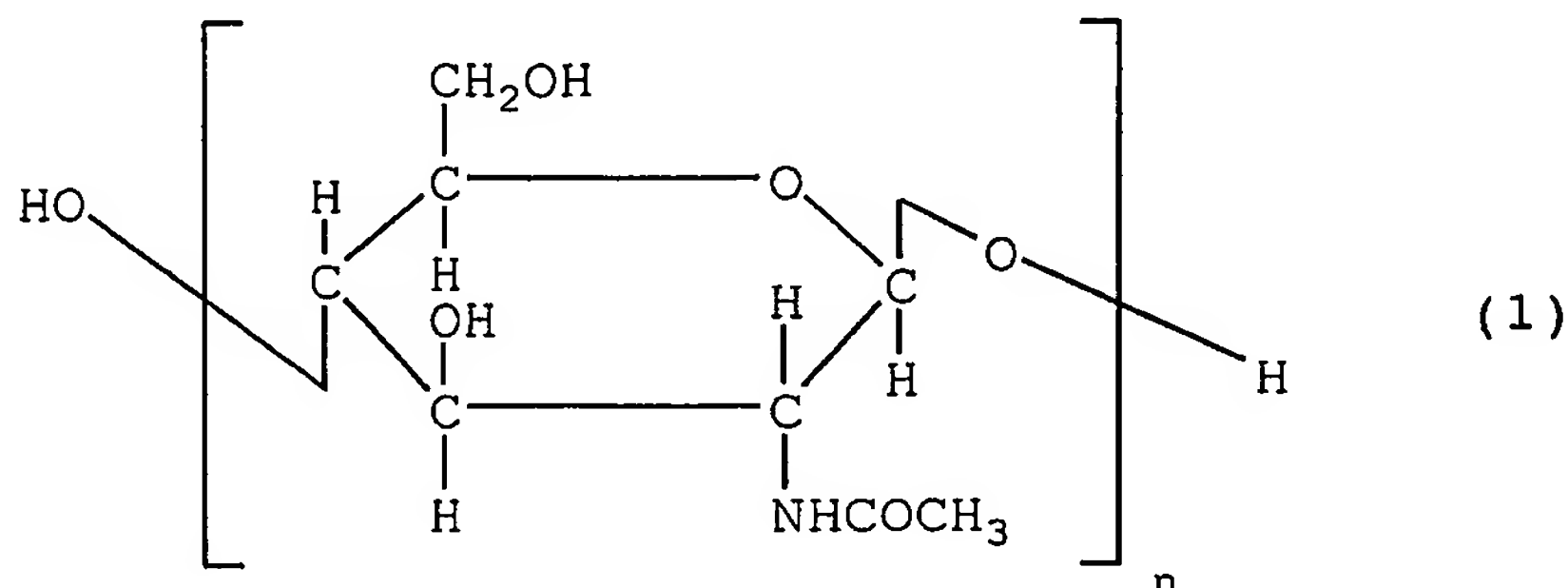
[23] The using method as described in [22], wherein the magnification of dilution with water is from 30 to  
35 700 times by mass.

#### Detailed Description of the Invention

The chitosan for use in the present invention is



obtained by deacetylating through hydrolysis a chitin represented by the following formula (1), which is a natural polysaccharide, and thereby converting the acetamido group into an amino group.



5

The ratio between the acetamido group and the amino group varies depending on the degree of hydrolysis and number of amino groups/(number of acetamido groups + number of amino groups) × 100

10 is referred to as a deacetylation degree (shown by %, the measuring method is described later).

In the present invention, a chitosan having a deacetylation degree of 60 to 90% is used. The deacetylation degree is preferably from 65 to 80%. If  
15 the deacetylation degree is too low, the solubility of chitosan in water decreases to render its use difficult and the effect also decreases, whereas if the deacetylation degree is excessively high, the effect cannot be stably obtained.

20 Chitosan used in the invention can be produced by hydrolyzing, filtering out, and drying naturally-occurring chitin. Chitosan of a desired molecular weight can be obtained by varying conditions of the hydrolization. Chitosan used in the invention may also  
25 be commercially available from Dai-nichi-seika kogyo kabushiki-kaisha, Yaizu-suisan-kagaku kogyo kabushiki-kaisha, etc. As used herein, unless otherwise indicated, the molecular weight of chitosan so obtained means weight-average molecular weight. The molecular weight of

chitosan is determined by the conversion from a viscosity obtained according to an Ostwald viscosity measuring method (the measuring method is described later).

5 In the present invention, two or more kinds of chitosans, namely, at least a chitosan (A) having a molecular weight as defined above of 3,000 to 60,000 and a chitosan (B) having a molecular weight of 35,000 to 90,000, are used. However, the chitosan (A) and the chitosan (B) are selected such that the molecular weights  
10 thereof are not the same. At this time, the difference in the molecular weight between chitosans (A) and (B) is preferably 10,000 or more, more preferably 20,000 or more. As for the molecular weight range, the molecular weight of (A) is preferably from 3,000 to 30,000 and the  
15 molecular weight of (B) is preferably from 35,000 to 80,000. The molecular weight of (A) is more preferably from 5,000 to 20,000 and the molecular weight of (B) is more preferably from 40,000 to 70,000. By using chitosans having two or more kinds of molecular weights,  
20 the effect of protecting plants from diseases is more enhanced than the case of using chitosans having one kind of molecular weight. Furthermore, the antibacterial spectrum against plant pathogens is broadened and an effect of sufficiently improving disease resistance and  
25 growth is obtained.

In the present invention, it is necessary to use (C) a lactic acid and/or a succinic acid in combination with chitosans. The lactic acid and/or succinic acid need to dissolve chitosans and therefore, must be used in an  
30 amount such that the carboxyl group becomes equimolar or more to the amino group of chitosans. Use of a lactic acid and/or a succinic acid in an excessively large amount is not preferred, because the acidity increases and this may adversely affect the plant.

35 The amount of a lactic acid and/or a succinic acid used is preferably from 2% by mass to less than 15% by mass based on the composition. Particularly, as for the

preferred use range of succinic acid and lactic acid ingredients, the succinic acid is preferably used in an amount of 0.5 to 5% by mass of the composition and the lactic acid is preferably used in an amount of 1 to 10% by mass of the composition. Furthermore, the total amount of lactic acid and succinic acid is preferably from 0.4 times by mass to less than 1 times by mass based on the amount of chitosans used. The amount used as referred to herein is an amount of an acid where the carboxyl group is in a free state and when neutralized with an alkali, the amount of neutralized portion is excluded.

In the present invention, (D) an organic acid except for a lactic acid and a succinic acid may be used, alone or in combination, to enhance the effect of improving disease resistance and growth, if it does not adversely affect the plant. Organic acids having a bioactive activity on organisms are effective and preferred. Examples of such organic carboxylic acids include a glutamic acid, a salicylic acid, an arachidonic acid and an indoleacetic acid. These may be used individually or in combination of two or more.

The amount of the organic carboxylic acid used is not particularly limited as long as the organic carboxylic acid is used in an amount of giving no adverse effect on plants. As a matter of course, the amount used thereof is, however, limited by its activity. For example, a compound having a plant hormone activity provides a reverse effect when used in a large amount. In general, the organic carboxylic acid is preferably used in an amount of 0.0001 to 5% by mass of the composition.

In the present invention, (E) an inorganic salt can be used. As the inorganic salt, a silicate, a phosphite and a phosphate are preferred because these are effective particularly on plants. These salts can be used individually or in combination. The silicate is useful



particularly for grass plants and is expected to show activity of, for example, increasing the yield. The inorganic acid is preferably used in amount of 1 to 5% by mass of the composition.

5           In the present invention, (F) a dimethyl sulfoxide (DMSO) may be used. DMSO can facilitate the absorption of the ingredients such as chitosan in the composition of the invention into plant and enables their activity to readily come out. DMSO can be used in an amount of not  
10           affecting the plant and allowing the above-described inorganic acid salt to keep the solubility. DMSO is preferably used in the amount of 3 to 15% by mass, more preferably from 5 to 10% by mass, of the composition.

          In the present invention, (G) an alcohol containing  
15           an alkyl group having from 1 to 8 carbon atoms, which may be branched, may be used. This alcohol is effective in inhibiting foaming of the composition and maintaining stability of the composition. An alkyl alcohol having from 4 to 6 carbon atoms is preferred and an isoamyl  
20           alcohol is particularly preferred. The alcohol content is preferably from 0.5 to 5% by mass of the composition.

          In the present invention, (H) a surface active agent may be used. The surface active agent has an effect of improving the fixing of medicaments such as chitosan on  
25           the plant surface. The surface active agent can be used without any particular limitation if it does not affect the plant, however, those usable for a spreader of agrochemicals are preferred. A nonionic surface active agent is more preferred and examples thereof include  
30           polyoxyethylene alkylphenyl ether, polyoxyethylene alkyl ether, polyalkylene glycol alkyl ether, polyoxyethylene fatty acid ester, polyoxyethylene resin acid ester, polyoxyethylene hexitan fatty acid ester, polyoxyethylene sorbitan fatty acid ester and sorbitan fatty acid ester.

35           The surface active agent (H) is preferably used in an amount of 0.5 to 3% by mass of the composition.

          In the composition of the present invention, other

solvents may be used to increase the stability of the composition if those have no effect on plants, however, the remaining ingredient is substantially (I) water. The water is used to make 100% by mass in total with the  
5 above-described ingredients. The specific water content varies depending on the ingredients used, however, the water is preferably used in an amount of 40 to 93% by mass.

In the present invention, the pH of the composition  
10 is important in view of stability of the dissolved state of chitosans in water and safety on plants. Usually, the pH as measured by a commercially available pH meter is preferably from 4.0 to 5.5, but as long as the above-described ingredients are used and the amounts used  
15 thereof are within respective ranges described above, it is not necessary to particularly adjust the pH. However, in the case where the pH of the composition largely deviates from the above-described range, for example, when the pH of water used is considerably shifted to the  
20 acidic or alkaline region, when an organic carboxylic acid having strong acidity is used or when a large amount of an acid (e.g., lactic acid, succinic acid) is used to facilitate the dissolution of chitosans, the pH is adjusted by a lactic acid or a succinic acid in the case  
25 of alkaline pH or by an alkali such as sodium carbonate, sodium hydroxide and potassium hydroxide in the case of acidic pH.

In the production of the composition of the present invention, the above-described necessary ingredients can  
30 be mixed in any way irrespective of the mixing order or the like as long as a uniform aqueous solution can be obtained. However, chitosans are not easily dissolved in neutral water and therefore, the composition is preferably produced by dissolving a lactic acid and/or a  
35 succinic acid in water (preferably distilled water or purified water), adding and dissolving chitosans therein while stirring, and then adding other necessary

ingredients which are, if desired, dissolved in water or the like.

5 In actually using the composition of the present invention to treat a plant body, the composition is used after diluting it with a necessary amount of water. At this time, the magnification of dilution varies depending on the kind of plant but is usually from 30 to 700 times by mass, more preferably from 50 to 350 times by mass.

Measuring Method of Deacetylation Degree

10 Deacetylation degree can be measured by the method described in "Public Notice of Standards of Healthy Foods", published by the incorporated foundation NIPPON HEALTHY AND NUTRIENT FOOD KYOKAI, June 1, 1995. The following method used is analogous to the method in the  
15 above-mentioned reference.

The deacetylation degree of chitosan is determined by measuring the free amino group by colloid titration using potassium polyvinylsulfate (PVSK).

20 After drying according to a drying loss test method in a 200 ml-volume measuring flask, 1.0g of a chitosan sample is precisely sampled. Thereto, a 0.5% acetic acid solution is added and dissolved to make exactly 200 ml. Then, 1.0g of the chitosan sample solution is exactly  
25 sampled in a titration vessel and after adding 50 ml of water and 0.2 ml of a toluidine blue (indicator) test solution and thoroughly mixing these, the resulting solution is titrated with a potassium polyvinylsulfate solution. The end point is set to the point where blue changes to reddish violet. The titer here is V ml.

30 A solution where the chitosan sample is not added is titrated in the same manner. The titer here is B ml.

A normality of potassium polyvinylsulfate solution is precisely measured to about 1/400N. The concentration thereof is [PVSK].

35 The mass (X) (corresponding to the mass of glucosamine residue) of free amino group and the mass (Y) (corresponding to the mass of N-acetyl glucosamine

residue) of bonded amino group in chitosan are

X = mass of free amino group in chitosan =

[PVSK]  $\times$  161/1000  $\times$  (V - B), and

Y = mass of bonded amino group in chitosan =

5  $0.5 \times 1/100 - X$ .

The deacetylation degree is calculated according to the following formula:

Deacetylation degree (%) =

$(X/161)/(X/161 + Y/203) \times 100$

10 Note that 161 represents the equivalent molecular weight of glucosamine residue, and 203 represents the equivalent molecular weight of N-acetyl glucosamine residue.

#### Measuring Method of Molecular Weight of Chitosan

15 The specific viscosity is measured using an Ostwald viscometer and the molecular weight of chitosan is determined using the following conversion chart of Table 1.

20 The solution for measurement is prepared by adding and dissolving 50 ml of an aqueous 4% acetic acid solution and 50 ml of 0.6M/L brine to 50 mg of chitosan sample. Using a capillary tube having an inside diameter of 0.5 mm of an Ostwald viscometer manufactured by Shibata, the time spent for passing from the ruled line a to the ruled line b is measured. The time here is t.

25 A solution where chitosan is not dissolved is prepared, and the time spent for passing from the ruled line a to the ruled line b is measured in the same manner. The time here is t<sub>0</sub>.

30 Each of t and t<sub>0</sub> is measured three times and an average value thereof is used.

The specific viscosity is calculated by

Specific viscosity =  $t/t_0 - 1$

Table 1: Conversion Chart from Specific Viscosity  
to Molecular Weight of Chitosan

Specific Viscosity	Molecular Weight (Da)
0.005	1,000
0.01	4,000
0.02	8,000
0.03	13,000
0.04	17,000
0.05	21,000
0.06	26,000
0.07	30,000
0.08	34,000
0.09	39,000
0.10	43,000
0.11	47,000
0.12	51,000
0.13	55,000
0.14	59,000
0.15	63,000
0.16	67,000
0.17	71,000
0.18	75,000
0.19	79,000
0.20	83,000

(\*) In the case where the specific viscosity is between numerals above, the molecular weight is determined by regarding these as in a proportional relationship.

5

EXAMPLES

Composition Example 1

Name of Ingredient	Content of Ingredient (mass%)
(A) Chitosan (molecular weight: 16,000, deacetylation degree: 85.1%)	3.5%
(B) Chitosan (molecular weight: 40,000, decetylation degree: 78.8%)	3.5%
(C) Lactic acid	0.7%



	Succinic acid	2.8%
(D)	Salicylic acid	2.0%
(E)	Sodium dihydrogenphosphate	2.1%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isoamyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	77.0%

Composition Example 2

	Name of Ingredient	Content of Ingredient (mass%)
(A)	Chitosan (molecular weight: 16,000, deacetylation degree: 85.1%)	3.5%
(B)	Chitosan (molecular weight: 40,000, deacetylation degree: 78.8%)	3.5%
(C)	Lactic acid	4.0%
	Succinic acid	0.7%
(D)	Arachidonic acid	0.5%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isopentyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	79.4%

Composition Example 3

	Name of Ingredient	Content of Ingredient (mass%)
(A)	Chitosan (molecular weight: 16,000, deacetylation degree: 85.1%)	3.5%
(B)	Chitosan (molecular weight: 40,000, deacetylation degree: 78.8%)	3.5%
(C)	Lactic acid	2.8%
	Succinic acid	2.5%
(D)	Sodium silicate	1.4%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isoamyl alcohol	0.7%

(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	77.9%

Composition Example 4

	Name of Ingredient	Content of Ingredient (mass%)
(A)	Chitosan (molecular weight: 10,000, deacetylation degree: 70%)	3.5%
(B)	Chitosan (molecular weight: 40,000, deacetylation degree: 70%)	3.5%
(C)	Lactic acid	6.2%
	Succinic acid	1.5%
(D)	Arachidonic acid	2.0%
(E)	Sodium dihydrogenphosphate	2.1%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isoamyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	72.8%

Composition Example 5

	Name of Ingredient	Content of Ingredient (mass%)
(A)	Chitosan (molecular weight: 10,000, deacetylation degree: 85.1%)	3.5%
(B)	Chitosan (molecular weight: 80,000, deacetylation degree: 78.8%)	3.5%
(C)	Lactic acid	0.7%
	Succinic acid	2.8%
(D)	Salicylic acid	2.0%
(E)	Sodium dihydrogenphosphate	2.1%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isoamyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	77.0%

Composition Example 6

Name of Ingredient		Content of Ingredient (mass%)
(A)	Chitosan (molecular weight: 50,000, deacetylation degree: 85.1%)	3.5%
(B)	Chitosan (molecular weight: 80,000, deacetylation degree: 78.8%)	3.5%
(C)	Lactic acid	0.7%
	Succinic acid	2.8%
(D)	Salicylic acid	2.0%
(E)	Sodium dihydrogenphosphate	2.1%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isopentyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	77.0%

Comparative Composition Example 1

Name of Ingredient		Content of Ingredient (mass%)
	Chitosan (molecular weight: 16,000, deacetylation degree: 85.1%)	3.5%
(C)	Lactic acid	0.7%
	Succinic acid	2.8%
(D)	Salicylic acid	2.0%
(E)	Sodium dihydrogenphosphate	2.1%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isoamyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	77.0%

Comparative Composition Example 2

Name of Ingredient		Content of Ingredient (mass%)
	Chitosan (molecular weight: 40,000, deacetylation degree: 78.8%)	3.5%

(C)	Lactic acid	0.7%
	Succinic acid	2.8%
(D)	Salicylic acid	2.0%
(E)	Sodium dihydrogenphosphate	2.1%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isoamyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	77.0%

Comparative Composition Example 3

Name of Ingredient	Content of Ingredient (mass%)
Chitosan (molecular weight: 6,400, deacetylation degree: 85%)	2.7%
Acetic acid	5.4%
Water	91.9%

Comparative Composition Example 4

	Name of Ingredient	Content of Ingredient (mass%)
	Chitosan (molecular weight: 10,000, deacetylation degree: 85.1%)	7.0%
(C)	Lactic acid	0.7%
	Succinic acid	2.8%
(D)	Salicylic acid	2.0%
(E)	Sodium dihydrogenphosphate	2.1%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isoamyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	77.0%

Comparative Composition Example 5

Name of Ingredient	Content of Ingredient (mass%)
Chitosan (molecular weight: 50,000, deacetylation degree: 85.1%)	7.0%

(C)	Lactic acid	0.7%
	Succinic acid	2.8%
(D)	Salicylic acid	2.0%
(E)	Sodium dihydrogenphosphate	2.1%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isoamyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	77.0%

Comparative Composition Example 6

Name of Ingredient		Content of Ingredient (mass%)
	Chitosan (molecular weight: 80,000, deacetylation degree: 78.8%)	7.0%
(C)	Lactic acid	0.7%
	Succinic acid	2.8%
(D)	Salicylic acid	2.0%
(E)	Sodium dihydrogenphosphate	2.1%
(F)	Dimethyl sulfoxide	7.0%
(G)	Isoamyl alcohol	0.7%
(H)	Surface active agent (*)	0.7%
	(*) polyoxyethylene (20) sorbitan monostearate	
(I)	Water	77.0%

Example 1

5 A two- to three-leaf stage cucumber (cultivars: Tokiwa Hikari No. 3, Type P) cultivated in a pot was used as a test sample. Each of Composition Example 1, Comparative Composition Example 1 and Comparative Composition Example 2 was 200-fold diluted with water and spread in an amount of 45 ml/3 pots on both the front and back surfaces of the first leaf and the second leaf.

10 Next day, a spore suspension (in the final concentration of  $3 \times 10^5$ /ml, DIFCO Potato Dextrose Broth 1.2%) of *Botrytis cinerea* was inoculated by spraying on the front and back surfaces of the first leaf and the



second leaf.

After five days, the lesion area percentage was surveyed on each of the second, third and fourth leaves to determine the disease incidence level. Also, from comparison with the non-treated region, the protective value was calculated.

The results as an average of 3 pots are shown below.

Preparation Used	Magnification of Dilution	Lesion Area Percentage	Protective Value
Composition Example 1	200 times	13.3%	86.7%
Comparative Composition Example 1	200 times	33.3%	66.7%
Comparative Composition Example 2	200 times	80.0%	20.0%
Non-treated Region		100%	0%

Example 2

A two- to three-leaf stage cucumber (cultivars: Tokiwa Hikari No. 3, Type P) cultivated in a pot was used as a test sample. Each of Composition Example 1 and Comparative Composition Example 3 was 50-fold diluted with tap water and spread in an amount of 50 ml/2 pots on the test sample.

Next day, a spore suspension ( $2 \times 10^6/\text{ml}$ ) of *Botrytis cinerea* was inoculated by spraying and the test sample was immediately placed in a wet chamber at the temperature of 20°C and the relative humidity of 100%, and kept for 3 days.

The lesion area percentage was surveyed on each of the first and second leaves to determine the disease incidence level. Also, from comparison with the non-treated region, the protective value was calculated.

The results as an average of 6 pots are shown below.

Preparation Used	Magnification of Dilution	Lesion Area Percentage	Protective Value
Composition Example 1	50 times	23.5%	74.1%
Comparative Composition Example 3	50 times	61.7%	32.1%
Non-treated Region		90.8%	0%

#### Example 3

5 A preventive effect test against *Botrytis cinerea* disease was performed on spring cabbage in an actual field. During the growth of seedlings, Composition Example 1 was 50-fold diluted with water and spread once. After the transplantation, Composition Example 1 was 200-fold diluted with water and spread twice. For comparison, the effect was examined by using commonly employed agrochemicals (Jimandaisen® and Benlate® were
   
 10 used during the growth of seedlings and Robural® and Benlate® were used after the transplantation) or by using Composition Example 1 and the agrochemicals in combination. The number of diseased plants out of 100 plants was determined for 3 regions in each run. The
   
 15 average value every 3 regions is shown below.

Preparation Used	Magnification of Dilution	Number of Diseased Plants
Composition Example 1	200 times	5.3
Commonly employed agrochemicals		10.3
Composition Example 1 + commonly employed agrochemicals	200 times	2.7

#### Example 4

The preventive effect against rice blast was examined on rice.

20 Seeds of rice (cultivars: Koshihikari) were subjected to a wet coating treatment (1% of dry husk weight) with Benlate T hydrate 20®, air dried, immersed in water under the conditions of 15°C and a bath ratio of

1:2 for 6 days, budded at 30°C for one day and sown every 4g in plastic pots each having a diameter of 9 cm. After the sowing but before covering the seedlings with soil, a 500-fold solution of Danicol 1000® was irrigated to a ratio corresponding to 500 ml per a normal seedling growth box. The seedlings were kept at 30°C for 3 days and thereby germinated. After the germination, excessive irrigation was avoided and the seedlings were placed under the control in a glass greenhouse until the end of test.

The test solution used was prepared by 35-fold or 70-fold diluting Composition Example 3. The test solution was spread on the entire plants every 6 ml per 1 pot using a small atomizer twice in the growth stage of rice, namely, at the two-leave stage and at the three-leave stage.

Two days after the final spreading of the test solution, a spore suspension of *Pyricularia oryzae* adjusted to  $2 \times 10^5$  cells/ml was mainly sprayed on the back surface of leaf at a ratio of 5.6 ml per pot. For 3 days after inoculation, the seedlings were laid in a humidified state to accelerate the disease incidence.

Nine days after the final spreading of the test solution, 100 sheaths in each pot were surveyed for the presence or absence of lesion and the number of lesions on the second and third leaves and from the values obtained, the disease incidence percentage, total number of lesions, and the protective value were calculated. The results are shown below.

Preparation Used	Magnification of Dilution	Disease Incidence Percentage	Total Number of Lesions	Protective Value
Composition Example 3	35 times	25.0%	50.0	41.0
Composition Example 3	70 times	23.3%	41.7	50.8
Non-treated region		37.0%	84.7	-

Phytotoxicity was not particularly observed.

#### Example 5

5 Using Composition Example 2 in an actual field, the effect on the harvest amount of potato (Norin No. 1) was examined.

200 ml of Composition Example 2 was 35-fold diluted and the treatment shown in Table 2 was performed per 3 are according to a conventional treatment with a bactericide.

10 At the harvest, a digging search of 1.65m<sup>2</sup> (9 roots) was performed and the total weight (average of three portions) of crops was determined. The results are shown below.

Preparation Used	Magnification of Dilution	Total Weight	Yield as Yield per 10 Are
Composition Example 2	35 times	8.5 kg	5,100 kg
Non-treated region		7.2 kg	4,320 kg

15 No particularly definite disease condition was observed on the foliage under growing.

Table 2

Date of Dispersion of Preparation	Test Region	Conventional Region
7/5	Green Benkozem® (as a mixture with Materina®)	Green Benkozem® (as a mixture with Materina®)
7/13	Composition Example 2 × 35 times (as a mixture with Denapon® and Bacteriocide®)	Green Benkozem® (as a mixture with Denapon® and Bacteriocide®)
7/24	Froncide® (as a mixture with Lannate® and Starna®)	Froncide® (as a mixture with Lannate® and Starna®)
8/3	Composition Example 2 × 35 times	Froncide®
8/14	Composition Example 2 × 35 times	Froncide®
8/20	Copper agent KBW®	Copper agent KBW®
8/30	Copper agent KBW®	Copper agent KBW®
9/13	Copper agent KBW®	Copper agent KBW®

(\*) On May 2, planting was performed with a footpath width of 75 cm.

Example 6

5           Using Composition Example 2 in an actual field, the effect on the harvest amount of potato (May queen) was examined.

10           Composition Example 4 was 300-fold diluted and spread per 6 are once before blooming and twice at an interval of ten days after blooming.

          At the harvest, a digging search of 1.65m<sup>2</sup> (9 roots) was performed and the total weight (average of three portions) of crops was determined. The results are shown below.



Preparation Used	Magnification of Dilution	Total Weight	Yield Converted per 10 Are
Composition Example 4	300 times	10.4 kg	6,240 kg
Non-treated region		8.9 kg	5,340 kg

Example 7

Three-leaf stage cucumber seedlings (cultivars:  
Hikari No. 3, Type P, in a plastic cup) were sprayed with  
a test solution of Composition Example 5, Composition  
5 Example 6, Comparative Composition Example 4, Comparative  
Composition Example 5, or Comparative Composition  
Example 6 70-fold diluted with water at a rate of  
45 ml/3 pots, with hand sprayers.

After the seedlings were allowed to dry in air for  
10 48 hours, a spore suspension (in the final concentration  
of  $1 \times 10^5$ /ml, DIFCO Potato Dextrose Broth 1.2%) of  
*Botrytis cinerea* formed on the PDA medium was sprayed on  
both front and back surfaces of the first leaf and the  
second leaf, and the seedlings were kept in a wet chamber  
15 at the temperature of 20°C and the relative humidity of  
100% for 3 days. After air dried in a room, the lesion  
(plaque) area percentage was surveyed on each of the  
first and second leaves to determine the disease  
incidence level. In comparison with the disease  
20 incidence levels between the test solutions, the  
protective value was calculated.

The results as an average of 3 pots are shown below.

Preparation Used	Magnification of Dilution	Protective Value
Composition Example 5	70 times	58.7%
Composition Example 6	70 times	57.8%
Comparative Composition Example 4	70 times	25.1%
Comparative Composition Example 5	70 times	26.1%
Comparative Composition Example 6	70 times	14.3%

RESULT

By using two kinds of chitosans having different molecular weights, an effect of enhancing stable and high disease resistance and improving growth can be exerted on plants.

CLAIMS

1. A composition for improving the disease resistance and growth of plants, comprising (A) a chitosan having a molecular weight of 3,000 to 60,000,  
5 (B) a chitosan having a molecular weight of 35,000 to 90,000 (provided that the molecular weight of chitosan (A) and the molecular weight of chitosan (B) are different) and (C) a lactic acid and/or a succinic acid.

2. The composition as described in claim 1,  
10 wherein the deacetylation degree of chitosans (A) and (B) is from 60 to 90% (provided that the deacetylation degree may be the same or different between (A) and (B)).

3. The composition as described in claim 1,  
15 wherein the ratio of the chitosan (A) content to the chitosan (B) content is 1:0.9 to 1.1.

4. The composition as described in claim 1,  
wherein the total content of chitosans (A) and (B) is from 5 to 15% by mass of the composition.

5. The composition as described in claim 1,  
20 wherein (C) a lactic acid and/or a succinic acid is further contained in an amount of 2% by mass to less than 15% by mass.

6. The composition as described in claim 1,  
25 wherein a succinic acid is contained in an amount of 0.5 to 5% by mass of the composition, a lactic acid is contained in an amount of 1 to 10% by mass of the composition and the total amount thereof is from 0.4 times by mass to less than 1.0 times by mass of chitosan.

30 7. The composition as described in claim 1, which further comprises (D) an organic carboxylic acid other than a lactic acid and a succinic acid.

8. The composition as described in claim 7,  
35 wherein the organic carboxylic acid (D) is at least one acid selected from the group consisting of a glutamic acid, a salicylic acid, an arachidonic acid and an indoleacetic acid.

9. The composition as described in claim 7 or 8, wherein the organic carboxylic acid (D) content is from 0.0001 to 5% by mass of the composition.

5 10. The composition as described in claim 1, which further comprises (E) an inorganic salt.

11. The composition as described in claim 10, wherein the inorganic salt (E) is at least one salt selected from the group consisting of a silicate, a phosphite and a phosphate.

10 12. The composition as described in claim 10 or 11, wherein the inorganic salt (E) content is from 1 to 5% by mass of the composition.

13. The composition as described in claim 1, which further comprises (F) a dimethyl sulfoxide in an amount  
15 of 3 to 15% by mass of the composition.

14. The composition as described in claim 1, which further comprises (G) an alcohol containing an alkyl group having from 1 to 8 carbon atoms, which may be branched.

20 15. The composition as described in claim 14, wherein the alcohol (G) is an isoamyl alcohol.

16. The composition as described in claim 14 or 15, wherein the alcohol (G) content is from 0.5 to 5% by mass of the composition.

25 17. The composition as described in claim 1, which further comprises (H) a surface active agent.

18. The composition as described in claim 17, wherein the surface active agent (H) is at least one member selected from the group consisting of a  
30 polyoxyethylene alkylphenyl ether, a polyoxyethylene alkyl ether, a polyalkylene glycol alkyl ether, a polyoxyethylene fatty acid ester, a polyoxyethylene resin acid ester, a polyoxyethylene hexitan fatty acid ester, a polyoxyethylene sorbitan fatty acid ester and a sorbitan  
35 fatty acid ester.

19. The composition as described in claim 17 or 18, wherein the surface active agent (H) content is from 0.5

to 3% by mass of the composition.

20. The composition as described in claim 1, which further comprises (I) water.

5 21. The composition as described in claim 20, wherein the water (I) content is from 40 to 93% by mass.

22. A method for using the composition described in any one of claims 1 to 8, 10, 11, 13 to 15, 17, 18, 20, and 21, comprising diluting the composition with water before use.

10 23. The using method as described in claim 22, wherein the magnification of dilution with water is from 30 to 700 times by mass.



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/JP 03/03472

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A01N43/16 //(A01N43/16, 43:16)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00 59949 A (BEN SHALOM NOACH ; PLATT DAVID (US)) 12 October 2000 (2000-10-12) page 5, line 16 - line 22 page 17, line 14 - page 18, line 2 page 19, line 8 - line 9 claims 3,5,6,8; examples 10,15-23 ---	1-23
X	WO 97 09879 A (BIOESTIMULANTES ORGANICOS LDA) 20 March 1997 (1997-03-20) page 5, paragraph 3 - page 7, paragraph 8 page 8, paragraph 3; claims 1,3 ---	1-23
X	WO 98 34464 A (DCV INC) 13 August 1998 (1998-08-13) page 5, line 20 - page 6, line 13 page 7, line 18 - line 22 page 8, line 4 - line 7 ---	1,2,6, 20-23
-/--		

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

19 May 2003

Date of mailing of the international search report

23/05/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Molina de Alba, J

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/JP 03/03472

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 01 19187 A (INST OCHRONY ROSLIN ;ORLIKOWSKI LESZEK (PL); POSPIESZNY HENRYK (PL) 22 March 2001 (2001-03-22) abstract -----	1-23
A	US 6 167 652 B1 (BJORNSEN AUGUST S ET AL) 2 January 2001 (2001-01-02) abstract -----	1-23

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/JP 03/03472

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0059949	A	12-10-2000	US 5965545 A WO 0059949 A1 AU 3473199 A EP 1185560 A1	12-10-1999 12-10-2000 23-10-2000 13-03-2002
WO 9709879	A	20-03-1997	AU 6838896 A EP 0792101 A1 ES 2171368 T1 IL 119188 A WO 9709879 A1 US 5733851 A	01-04-1997 03-09-1997 16-09-2002 31-08-2000 20-03-1997 31-03-1998
WO 9834464	A	13-08-1998	US 5726123 A AU 6160698 A AU 7367398 A BR 9807322 A EP 0969722 A2 JP 2001511017 T WO 9834464 A2	10-03-1998 26-08-1998 30-08-1999 16-05-2000 12-01-2000 07-08-2001 13-08-1998
WO 0119187	A	22-03-2001	PL 335454 A1 EP 1211939 A1 WO 0119187 A1	26-03-2001 12-06-2002 22-03-2001
US 6167652	B1	02-01-2001	AU 6248498 A BR 9806926 A DE 69810449 D1 EP 0964616 A1 JP 2001507361 T WO 9832335 A1	18-08-1998 02-05-2000 06-02-2003 22-12-1999 05-06-2001 30-07-1998